

Please type a plus sign (+) inside this box

PTO/SB/05 (03/01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number

SHPR-01361USL

Inventor

Shek Fai Lau et al.

Title

Electrode Cleaning for Air Conditioner Devices

Express Mail Label No.

EV 375 096 411 US

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning Utility Patent Application Contents

ADDRESS TO: Commissioner for Patents
Mail Stop Patent Application
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (PTO/SB/17)
(in duplicate for fee processing)
2. ☐ Applicant claims small entity status
(See 37 CFR 1.27)
3. ☒ Specification Total Pages 32
(preferred arrangement set forth below)
- Descriptive Title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed Sponsored R & D
 - Reference to Sequence Listing, a table or computer program listing Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawing(s) (if filed)
 - Detailed Description
 - Claim(s) 14
 - Abstract of the Disclosure
4. ☒ Formal Drawing(s) (35 USC 113) Total Sheets 14
5. ☒ Oath or Declaration Total Pages 2
- a. ☐ Newly Executed
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76
4 Total Pages

7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (Cover Sheet & Document(s))
_ Total Pages
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement 5 IDS
((IDS)/PTO-1449) 10 Total Pages Citations
13. ☐ Preliminary Amendment _ Total Pages
14. ☒ Return Postcard, specifically itemized (MPEP 503)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 USC
122(b)(2)(B)(i). Applicant must attach form
PTO/SB/35 or its equivalent.
17. ☐ Other

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09 / 924,600

Prior application information: Examiner: Richard L. Chiesa Group/Art Unit: 1724

For Continuation or Divisional Apps only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number 23910 or ☐ Correspondence Address below

NAME Fliesler Meyer LLP

ADDRESS

Four Embarcadero Center, Fourth Floor

CITY San Francisco STATE CA ZIP CODE 94111

COUNTRY USA TELEPHONE 415/362-3800 FAX 415/362-2928

Name (Print/Type) Jeffrey R. Kurin Registration No. (Attorney/Agent) 41,132

Signature

Date

March 22, 2004

2004
FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 856.00)

Complete if Known

Application Number Division of 09/924,600
Filing Date March 22, 2004
Inventor Shek Fai Lau et al.
Group Art Unit 1724
Examiner Name Richard L. Chiesa
Attorney Docket Number SHPR-01361USL

METHOD OF PAYMENT

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.[†]
☐ Applicant claims small entity status. See 37 CFR 1.27.

Deposit Account Number: 06-1325
Deposit Account Name: Fliesler Meyer LLP

2. ☒ Payment Enclosed:
[X] Check [] Other

FEE CALCULATION (fees effective 10/1/01)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1001/\$770	2001/\$385	Utility Filing	770
1002/\$330	2002/\$165	Design Filing	
1004/\$750	2004/\$375	Reissue	
1005/\$160	2005/\$80	Provisional Filing	
SUBTOTAL (1)			(\$ 770)

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
1202/\$18	2202/\$9	Claims in excess of 20
1201/\$86	2201/\$43	Independent claims in excess of 3
1203/\$280	2203/\$140	Multiple dependent claim
1204/\$86	2204/\$43	Reissue independent claims over original patent
1205/\$18	2205/\$9	Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1051/\$130	2051/\$65	Surcharge - late filing fee or oath	
1052/\$50	2052/\$25	Surcharge-late provisional filing fee or cover sheet	
1812/\$2,520	1812/\$2,520	For filing a request for reexamination	
1251/\$110	2251/\$55	Extension for response within first month [†]	
1252/\$420	2252/\$210	Extension for response within second month [†]	
1253/\$950	2253/\$475	Extension for response within third month [†]	
1254/\$1,480	2254/\$740	Extension for response within fourth month [†]	
1255/\$1,970	2255/\$985	Extension for response within fifth month [†]	
1401/\$320	2401/\$160	Notice of Appeal	
1453/\$1,300	2453/\$650	Petition to revive unintentionally abandoned application	
1501/\$1,330	2501/\$665	Utility Issue Fee (Or Reissue)	
1502/\$470	2502/\$235	Design Issue Fee	
1460/\$130	1460/\$130	Petitions to the Commissioner	
1814/\$110	2814/\$55	Statutory Disclaimer	
1806/\$180	1806/\$180	Submission of Information Disclosure Statement	
8021/\$40	8021/\$40	Recording each patent assignment per property (times number of properties)	
1809/\$750	2809/\$375	Filing a submission after final rejection (37 CFR 1.129(a))	
1801/\$770	2801/\$385	Request for Continued Examination (RCE)	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3)			(\$ 0)

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due	
For	No. of Existing Claims		Highest No. Previously Paid For		Extra**				
TOTAL	14	minus*	20 or	=	0	x		=	0
INDEP	4	minus*	3 or	=	1	x	86	=	86
[] First presentation of multiple dependent claim									0

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 86)

SUBMITTED BY

Typed or Printed Name Jeffrey R. Kurin

Complete (if applicable)

Reg. Number 41,132

Signature

Jeffrey R. Kurin

Date

March 22, 2004